

**University of Hawaii Maui College**  
**Course Outline**

1. Alpha FIN Number 311  
Course Title Investments  
Credits 3  
Department Business/Hospitality Author Refugio Gonzalez and Debasis Bhattacharya  
Date of Outline 10/14/2011 Effective Date Spring 2013 5-year Review Date Fall 2017

2. Course Description: Introduction to various investment media and capital markets. Topics include the analysis of security returns using techniques such as beta, filter rules, and portfolio theory.

Cross-list none

Contact Hours/Type 3 hr. lecture

3. Pre-requisites BUS 318 and BUS 310, <sup>both</sup> with a grade of C or better

Pre-requisite may be waived by consent  yes  no

Co-requisites none

Recommended Preparation none

4. Function/Designation  AA Category Additional Category


AS Program Category List Additional Programs and Category:


AAS Program PR - Program Requirement List Additional Programs and Category:

BAS ABIT BC - ABIT Business Core List Additional Programs and Category:

Developmental/Remedial  Other/Additional: Explain:

See Curriculum Action Request (CAR) form for the college-wide general education student learning

  
Chancellor

  
Approval Date

outcomes (SLOs) and/or the program learning outcomes (PLOs) this course supports.

- This course outline is standardized and/or the result of a community college or system-wide agreement.  
Responsible committee:

5. Student Learning Outcomes (SLOs): List one to four inclusive SLOs.

*For assessment, link these to #7 Recommended Course Content, and #9 Recommended Course Requirements & Evaluation. Use roman numerals (I, II, III) to designate SLOs*

On successful completion of this course, students will be able to:

- I. Apply critical thinking skills to evaluate investments, solve financial problems, and make investment decisions
- II. Apply quantitative reasoning to enhance financial analysis and decision-making skills
- III. Demonstrate knowledge of investment vehicles
- IV. Utilize business & technology statistical techniques to conduct finance-related research

6. Competencies/Concepts/Issues/Skills

*For assessment, link these to #7 Recommended Course Content, and #9 Recommended Course Requirements & Evaluation. Use lower case letters (a., b. ...zz.) to designate competencies/skills/issues*

On successful completion of this course, students will be able to:

- a. Explain the investment environment
- b. Analyze the securities markets and transactions
- c. Demonstrate investment information and securities transactions
- d. Comprehend return and risk
- e. Explain time value and money
- f. Demonstrate modern portfolio concepts
- g. Identify common stocks
- h. Explain analyzing common stocks
- i. Demonstrate stock valuation
- j. Analyze market efficiency, behavioral finance, and technical Analysis
- k. Explain fixed-income securities
- l. Demonstrate bond valuation
- m. Explain mutual funds: professional managed portfolios
- n. Demonstrate managing your own portfolio
- o. Identify options: puts and calls
- p. Explain commodities and financial futures

7. Suggested Course Content and Approximate Time Spent on Each Topic

*Linked to #5. Student Learning Outcomes and # 6 Competencies/Skills/Issues*

1. Core concepts in investmens, return and risk - 3 weeks (SLO IV; Competency a,b,c)
2. Investment models, stocks and financial details - 10 weeks (SLO I, II and III; Competencies d to j)
3. Fixed income, bons, portfolio management, options, futures - 3 weeks (SLO IV; Competency k to p)

8. Text and Materials, Reference Materials, and Auxiliary Materials

Appropriate text(s) and materials will be chosen at the time the course is offered from those currently available in the field. Examples include: Fundamentals of Investing, 11/E, Gitman, or latest edition

Appropriate reference materials will be chosen at the time the course is offered from those currently available in the field. Examples include: Internet references on financial investments and modeling

Appropriate auxiliary materials will be chosen at the time the course is offered from those currently available in the field. Examples include: Internet references on financial investments and modeling

#### 9. Suggested Course Requirements and Evaluation

*Linked to #5. Student Learning Outcomes (SLOs) and #6 Competencies/Skills/Issues*

Specific course requirements are at the discretion of the instructor at the time the course is being offered. Suggested requirements might include, but are not limited to:

20%	Written midterm exam covering lectures (SLO I, II and III; Competencies a to e)
30%	Written final exam covering lectures (SLO I, II and III; Competencies a to l)
30%	4 Individual Assignments (SLO I, II, III and IV; Competencies a to l)
20%	2 Learning Team Assignments (SLO I, II, III and IV; Competencies a to l)

#### 10. Methods of Instruction

Instructional methods will vary considerably by instructor. Specific methods are at the discretion of the instructor teaching the course and might include, but are not limited to:

- a. quizzes and other tests with feedback and discussion;
- b. lectures and class discussions;
- c. problem solving;
- d. lab activities including experiments, lab skill lessons, data analysis, and other activities;
- e. group activities;
- f. web-based assignments and activities;
- g. group and/ or individual research projects with reports
- h. other contemporary learning techniques (such as problem-based learning, investigative case-based learning, co-op, internships, self-paced programs, etc.)

#### 11. Assessment of Intended Student Learning Outcomes Standards Grid attached

#### 12. Additional Information:



15. Repeatable for credit:  no  yes; maximum is \_\_\_\_\_ credit or  unlimited.  
*(Most courses are not repeatable for additional credit; exceptions are courses such as internships and co-op courses.)*
16. Special fees required:  no  yes; explain:
17. Proposed term of first offering: Spring semester of 2013 year.
18. List catalog used and then degrees, certificates, prerequisites, and catalog sections **and their page numbers** affected by this proposal: New Course at UHMC not in Catalog 2011-2012, needs to be added to page 23 ABIT requirements, page 24 ABIT program map, and new (FIN) listing in course descriptions, .
19. Maximum enrollment: 30 Rationale, if less than 35: Current room capacity in KLMA 107
20. Special resources (*personnel, supplies, etc.*) required:  no  yes; explain:
21. Course is restricted to particular room type:  no  yes; explain:
22. Special scheduling considerations:  no  yes; explain:
23. Method(s) of delivery appropriate for this course: (*check all that apply*)  
 Traditional  HITS/Interactive TV  Cable TV  Online  Hybrid  
 Other, explain:
24. Mark all college-wide general education SLOs this course supports.  
 Std 1 - Written Communications  Std 2 - Quantitative Reasoning  
 Std 3 - Information Retrieval and Technology  Std 4 - Oral Communication  
 Std 5 - Critical Reasoning  Std 6 - Creativity  
 Other General Education SLOs, such as Ethics, Scientific Inquiry, or Service Learning.  
 Explain:
25. List all program SLOs this course supports? (*Explain, if necessary*)  
 Program SLO 1: 2.3 Demonstrate knowledge of investment vehicles Explain:  
 Program SLO 2: 2.2 Utilize business & technology statistical techniques to conduct finance-related research Explain:  
 Program SLO 3: 3.1 Apply critical thinking skills to evaluate investments, solve financial problems, and make investment decisions Explain:  
 Program SLO 4: 3.3 Apply quantitative reasoning to enhance financial analysis and decision-making skills Explain:  
 Program SLO 5: Explain:
26.  Course fulfills the following general education elective (GE) for CTE (Career Technical Education) AS/AAS degrees (GE):  
 English (EN)/Communication (CM)  Quantitative Reasoning (QR)  
 Humanities (HU)  Natural Science (NS)  Social Science (SS)

Other:

Course is a requirement for the AAS program(s) AS/AAS degree or certificate

Course is a program elective for the \_\_\_\_\_ program(s) AS/AAS degree or certificate

27.  Course fulfills the following general education elective (GE) for the ABIT BAS degree:

English (EN)/Communication (CM)  Quantitative Reasoning (QR)

Humanities (HU)  Natural Science (NS)  Social Science (SS)

Other:

Course is a requirement for the ABIT BAS degree

Course is a program elective for the ABIT BAS degree

28.  Course fulfills a requirement for a proposed BAS \_\_\_\_\_ degree:

Pre-requisite course  Core

Capstone Course (CC)  Other:

Course is a program elective for a proposed BAS \_\_\_\_\_ degree

Course fulfills the following general education elective (GE) for the proposed BAS \_\_\_\_\_ degree:

English (EN)/Communication (CM)  Quantitative Reasoning (QR)

Humanities (HU)  Natural Science (NS)  Social Science (SS)

Other:

Course is applicable to the following additional BAS degrees:

29.  Course satisfies the following category for the AA degree\*:

Category I: Foundations/Skills: Foundations I

Written Communication in English (FW)

Global and Multicultural Perspectives (FG)

Group A (before 1500 CE)

Group B (since 1500 CE)

Group C (pre-history to present)

Symbolic Reasoning (FS)

Category I: Foundations/Skills: Foundations II

Numeracy (FN)

Oral Communication in English (FO)

Computer/Information Processing and Retrieval (FI)

Category II: Breadth of Understanding and Experience

Human Understanding

The Individual (IN)

The Community (CO)

The Community – Global Perspective (CG)

Human Expression (HE)

Environmental Awareness (EA)

Environmental Awareness – Global Perspective (EG)

Asia/Pacific Perspective (AP)

Category III Focus/Specialization/Area of Interest

Interest Area Discipline/Alpha:

Elective (LE)

Other Graduation Requirements

- Writing Intensive (is appropriate for WI)
- Environmental Awareness Lab/course with lab (EL)
- Hawaii Emphasis (HI)

\* Submit the appropriate form(s) to have the course placed in the requested category (ies). Submit a course outline, CAR, and appropriate forms to both the Curriculum Committee and the Foundations Board, if the course satisfies Category I: Foundations/Skills: Foundations I or II.

30. Course  increases  decreases  makes no change to number of credits required for program(s) affected by this action. Explain, if necessary:
31. Course is taught at another UH campus (*see Sections 5 and 6 above*):
- no Explain why this course is proposed for UHMC:
  - yes Specify college(s), course, alpha, and number where same or similar course is taught: Equivalent to FIN 311 in Shidler School of Business.

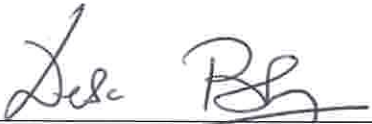
32. Course is:
- Not appropriate for articulation.
  - Appropriate\* for articulation as a general education course at:
    - UHCC UH Manoa UH Hilo UHWO
  - Previously articulated\* as a general education course at:
    - UHCC UH Manoa UH Hilo UHWO

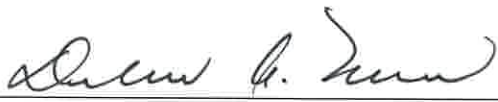
\*Note: Submit Course Articulation Form if course is already articulated, or is appropriate for articulation, as a general education (100-, 200-level) course.

- Standardized and/or appropriate for articulation by PCC or other UH system agreement at:
  - UHCC UH Manoa UH Hilo UHWO Explain:
- Appropriate for articulation or has previously been articulated to a specific department or institution:
  - UHCC UH Manoa UH Hilo UHWO  Outside UH system Explain:


33. Additional Information (*add additional pages if needed*): Students learn techniques of investments, portfolio theory for use in financial decision making and for accumulating and conserving wealth. Course is equivalent to FIN 311 offered at Shidler School of Business.

University of Hawaii Maui College  
Curriculum Action Request (CAR) Signature Page

  
Proposed by: Author or Program Coordinator Date 10/14/11


  
Checked by: Academic Subject Area Representative to Curriculum Committee Date 10/14/2011

  
Requested by Department: Department Chair Date 10/14/11

  
Recommended by: Curriculum Chair Date 1-30-12

  
Approved by Academic Senate: Academic Senate Chair Date 2-1-12

  
Endorsed by: Chief Academic Officer Date 2-4-12

  
Approved by: Chancellor Date 2/8/12